



To : Global Mastermind Securities Limited  
(CCASS Participant No.: B02087)  
致 : 環球大通證券有限公司  
(中央結算參與者編號: B02087)

**SETTLEMENT INSTRUCTION (SI) / INVESTOR SETTLEMENT INSTRUCTION (ISI)**  
交收指示 / 投資者交收指示

ACCOUNT INFORMATION 帳戶資料				
Account Number 帳戶號碼		Account Name 帳戶名稱		
SETTLEMENT / INVESTOR SETTLEMENT INSTRUCTION 交收指示 / 投資者交收指示				
<input type="checkbox"/> Please receive the following stock from the said Participant 請從指定之參與者收取下列股票 <input type="checkbox"/> Please deliver the following stock to the said Participant 請交付下列股票到指定之參與者				
Participant ID 參與者編號 _____		Participant Name 參與者名稱 _____		
Contact Person 參與者聯絡人 _____		Contact Telephone 聯絡電話 _____		
Settlement Date 交收日期 _____		Remarks 備註 _____		
STOCK DETAILS 股票資料				
Stock Code 股票代號	Stock Name 股票名稱	Number of Shares 股票數量	SI / ISI Payment Instruction 交收指示 / 投資者交收指示	Fee 費用
			<input type="checkbox"/> 無須付款 FOP <input type="checkbox"/> 貨銀對付 DVP HK\$ _____	
			<input type="checkbox"/> 無須付款 FOP <input type="checkbox"/> 貨銀對付 DVP HK\$ _____	
			<input type="checkbox"/> 無須付款 FOP <input type="checkbox"/> 貨銀對付 DVP HK\$ _____	
			<input type="checkbox"/> 無須付款 FOP <input type="checkbox"/> 貨銀對付 DVP HK\$ _____	
			<input type="checkbox"/> 無須付款 FOP <input type="checkbox"/> 貨銀對付 DVP HK\$ _____	

\*Please put "✓" on the appropriate box 請於適當之方格內加上「✓」號

Client Signature 客戶簽署 \_\_\_\_\_

Date 日期: \_\_\_\_\_

Contact Telephone 聯絡電話: \_\_\_\_\_

Notes 注意事項:

- Daily cut-off time: 12:00 noon (Settlement Department Tel : 2763 3908 / Fax : 2618 9806)  
每日截數時間: 正午十二時正 (交收部 電話: 2763 3908 / 傳真: 2618 9806)
- Client confirms that there is no change in beneficial ownership through effecting this settlement instruction.  
客戶確認上述股票的實益擁有權並沒有因進行本交收指示而出現變動。
- Stock deposited to or received via CCASS is subject to our actual settlement with HKSCC and bound by the rules and regulations of HKSCC.  
經中央結算系統存入或收取之股票需以與香港結算收妥為準及需受香港結算之規則及條例所約束。

For Internal Use Only 內部專用				Date: _____
Signature verified by:	Handled by:	Checked by:	Credit reviewed by:	Approved by: